

JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 31.12.2012

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 532642	Name of Scrip : JSWHL	Class of Security : Equity	Quarter Ended : 31.12.2012		
Cate- gory Code	<u>Partly paid up shares</u>		Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company
	Held by Promoter/Promoter group		NIL	NIL	NIL
	Held by Public		NIL	NIL	NIL
	Total		NIL	NIL	NIL
	<u>Outstanding Convertible Securities</u>		Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities
	Held by Promoter/Promoter group		NIL	NIL	NIL
	Held by Public		NIL	NIL	NIL
	Total		NIL	NIL	NIL
	<u>Warrants</u>		Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants
	Held by Promoter/Promoter group		NIL	NIL	NIL
	Held by Public		NIL	NIL	NIL
	Total		NIL	NIL	NIL
	Total paid up capital of the Company, assuming full conversion of warrants and convertible securities		1,10,99,625 shares of Rs.10/- each (Rs.11,09,96,250/-)		

Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of		Number of Shares	As a %
					(A+B) ¹	(A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/H.U.F	22	27642	27642	0.25	0.25		
(b)	Central/State Government(s)	0	0	0		0.00		
(c)	Bodies Corporate	18	6174794	6174794	55.63	55.63		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)							
	Employee Welfare Trust	0	0	0	0.00	0.00		
	M&M Benefit Trust	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	40	6202436	6202436	55.88	55.88	N.A.	N.A.
(2)	Foreign							
(a)	Non Resident Individuals/Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub_Total (A)(2)	0	0	0	0.00	0.00	N.A.	N.A.
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	40	6202436	6202436	55.88	55.88	N.A.	N.A.
(B)	Public Shareholding³							
(1)	Institutions							
(a)	Mutual Fund/UTI	14	4526	3665	0.04	0.04		
(b)	Financial Institutions/Banks	12	5568	4950	0.05	0.05		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	1	107172	107172	0.97	0.97		
(f)	Foreign Institutional Investors	28	641607	640345	5.78	5.78		
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	55	758873	756132	6.84	6.84	N.A.	N.A.
(2)	Non Institutions							
(a)	Bodies Corporate	1124	1167561	1161817	10.52	10.52		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	33843	2411090	2198685	21.72	21.72		
	ii) Holding nominal share capital in excess of Rs. 1 lakh	14	358517	358517	3.23	3.23		
(c)	Any Other(specify) Individual							
	Non-Resident Individual	1436	190923	152848	1.72	1.72		
	Foreign National	0	0	0	0.00	0.00		
	Overseas Corporate Bodies	2	10025	10000	0.09	0.09		
	Foreign Bodies	0	0	0	0.00	0.00		
	Trust	1	200	200	0.00	0.00		
	Clearing Member	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	36420	4138316	3882067	37.28	37.28	N.A.	N.A.
	Total Public shareholding (B)=(B)(1)+(B)(2)	36475	4897189	4638199	44.12	44.12		
	TOTAL (A)+(B)	36515	11099625	10840635	100.00	100.00	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	36515	11099625	10840635	100.00	100.00	N.A.	N.A.

(l) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (l)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
(a)	Individuals										
1	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
2	AARTI JINDAL	250	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
3	DEEPIKA JINDAL	1356	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
4	NAVEEN JINDAL & SONS (HUF)	691	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
5	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
6	PARTH JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
7	PRITHVI RAJ JINDAL	2097	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
8	PRITHVI RAJ JINDAL H U F	1122	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
9	R.K. JINDAL & SONS HUF	3708	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
10	RATAN JINDAL	1470	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
11	SAJJAN JINDAL	2197	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
12	S K JINDAL AND SONS HUF	1447	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	SANGITA JINDAL	1181	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
14	SAROJ BHARTIA	34	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
15	SAVITRI DEVI JINDAL	1863	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
16	SHRADHA JATIA	1257	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
17	SMINU JINDAL	1381	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
18	TANVI JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
19	TARINI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
20	TRIPTI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
21	URMILA BHUWALKA	900	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
22	URVI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
	Sub - Total (a)	27642	0.25	0	0.00	0.00	NA	NA	NA	NA	0.25

Contd..

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Abhinandan Investments Ltd	143262	1.29	0	0.00	0.00	NA	NA	NA	NA	1.29
2	Colorado Trading Company Ltd	227060	2.05	0	0.00	0.00	NA	NA	NA	NA	2.05
3	Gagan Trading Company Ltd.	538137	4.85	0	0.00	0.00	NA	NA	NA	NA	4.85
4	Jindal Equipment Leasing & Consultancy Services Ltd	419584	3.78	0	0.00	0.00	NA	NA	NA	NA	3.78
5	Nalwa Sons Investments Ltd	75	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
6	Jindal Holdings Ltd	132095	1.19	0	0.00	0.00	NA	NA	NA	NA	1.19
7	Mansarover Investments Ltd	267637	2.41	0	0.00	0.00	NA	NA	NA	NA	2.41
8	Meredith Traders Pvt. Ltd.	3075	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
9	Nalwa Investments Ltd	273587	2.46	0	0.00	0.00	NA	NA	NA	NA	2.46
10	Nalwa Sons Investments Ltd	1137043	10.24	0	0.00	0.00	NA	NA	NA	NA	10.24
11	Reynold Traders Pvt. Ltd	320500	2.89		0.00	0.00	NA	NA	NA	NA	2.89
12	Rishikesh Finlease & Investments Pvt. Ltd.	1500	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	Stainless Investments Ltd	182734	1.65	0	0.00	0.00	NA	NA	NA	NA	1.65
14	Sun Investments Pvt. Ltd.	365407	3.29	0	0.00	0.00	NA	NA	NA	NA	3.29
15	Vrindavan Services Pvt. Ltd.	642400	5.79	0	0.00	0.00	NA	NA	NA	NA	5.79
16	Hexa Securities & Finance Co Ltd	94214	0.85	0	0.00	0.00	NA	NA	NA	NA	0.85
17	Hexa Tradex Limited	334	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
18	Groovy Trading Private Limited	1426150	12.85	0	0.00	0.00	NA	NA	NA	NA	12.85
	Sub-Total (b)	6174794	55.63	0	0.00	0.00	NA	NA	NA	NA	55.63
	Grand Total	6202436	55.88	0	0.00	0.00	NA	NA	NA	NA	55.88

(*) The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations , 2011

(I) (d) Statement showing details of locked in shares			
Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares {i.e. Grand Total(A)+(B)+C indicated in statement at para(1)(a) above}
N.A.			
	TOTAL		

(II) (a) Statement showing details of Depository Receipts (DRS)				
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {i.e. Grand Total (A)+(B)+C indicated in Statement at para (1)(a)above}
N.A.				
	TOTAL			

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter / promoter group" are in excess of 1% of the total no.of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares {i.e. Grand Total (A)+(B)+C indicated in Statement at para (1)(a)above}
N.A.				
	TOTAL			

